

DOCKET NO.

S/267 DIV

CONFIRMATION NO.

4529

APPLICANT

Daniel Aeschlimann et al.

APPLICATION NO.

10/680,000

FILED

10/6/2003

RECEIPT IS HEREBY ACKNOWLEDGED OF THE

Statement Under 37 CFR Sections 1.97 and 1.56 (in duplicate); PTO-1449 (w/o references)

DATED

03/08/04

FILED IN CONNECTION WITH THE ABOVE CASE.

COMMISSIONER FOR PATENTS

SCR 003352.0009





S/267 DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Daniel Aeschlimann et al.  
Application No. : 10/680,000  
Filed : October 6, 2003  
Confirmation No. : 4529  
For : FUNCTIONALIZED DERIVATIVES OF HYALURONIC ACID,  
FORMATION OF HYDROGELS IN SITU USING SAME, AND  
METHODS FOR MAKING AND USING SAME  
Group Art Unit : 1623  
Examiner : Not Yet Assigned

New York, New York  
March 8, 2004

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.56

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants make of record the  
following documents:

UNITED STATES PATENTS

4,517,295	Bracke et al.
4,582,865	Balazs et al.
4,703,108	Silver et al.
4,713,448	Balazs et al.
4,780,414	Nimrod et al.
4,784,659	Fleckenstein et al.
4,801,539	Akasaka et al.
4,897,349	Swann et al.
4,957,744	della Valle et al.
4,970,298	Silver et al.

5,017,229	Burns et al.
5,166,331	della Valle et al.
5,270,300	Hunziker
5,316,926	Brown et al.
5,336,767	della Valle et al.
5,356,883	Kuo et al.
5,368,858	Hunziker
5,413,791	Rhee et al.
5,466,462	Rosenthal et al.
5,468,787	Braden et al.
5,502,081	Kuo et al.
5,512,301	Song et al.
5,527,893	Burns et al.
5,565,210	Rosenthal et al.
5,567,806	Abdul-Malak et al.
5,616,568	Pouyani et al.
5,652,347	Pouyani et al.
5,693,341	Schroeder et al.
5,700,476	Rosenthal et al.
5,769,899	Schwartz et al.

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FR 96 12200 (published October 7, 1996)  
 WO 90/06767 (published June 28, 1990)  
 WO 96/15888 (published May 30, 1996)  
 WO 97/45532 (published December 4, 1997)  
 WO 97/18244 (published May 22, 1997)

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All documents cited herein were either previously submitted by applicants in an Information Disclosure Statement or cited by the Examiner in parent United States Application No. 09/156,829 ("the parent application"), from which the above-identified application claims priority. Pursuant to 37 C.F.R. § 1.98(d), applicants have not enclosed copies of the documents. However, applicants stand ready to provide copies at the Examiner's request.

This Statement is submitted more than three months from the application filing date but before the mailing date of the first Office Action on the merits. In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this Statement is transmitted herewith.

Applicants further request that the cited documents be (1) fully considered by the Examiner during the course of examination of this application, and (2) printed on any patent



issuing from this application. Additionally, applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,

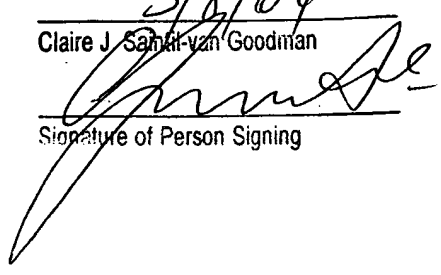


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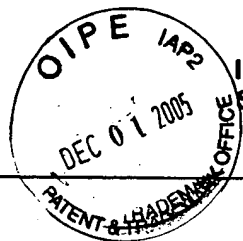
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3/8/04  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
S/267 DIVAPPLN. NO.  
10/680,000INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
Daniel Aeschlimann et al.

Conf. No. 4529

FILING DATE  
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1623

## UNITED STATES. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
	4,517,295	05/14/85	Bracke et al.	435	101	
	4,582,865	04/15/86	Balazs et al.	524	29	
	4,703,108	10/27/87	Silver et al.	530	356	
	4,713,448	12/15/87	Balazs et al.	536	55.1	
	4,780,414	10/25/88	Nimrod et al.	435	101	
	4,784,659	11/15/88	Fleckenstein et al.	623	1	
	4,801,539	01/31/89	Akasaka et al.	435	101	
	4,897,349	01/30/90	Swann et al.	435	101	
	4,957,744	09/18/90	della Valle et al.	424	401	
	4,970,298	11/13/90	Silver et al.	530	356	
	5,017,229	05/21/91	Burns et al.	106	162	
	5,166,331	11/24/92	della Valle et al.	536	55.1	
	5,270,300	12/14/93	Hunziker	514	12	
	5,316,926	05/31/94	Brown et al.	435	101	
	5,336,767	08/09/94	della Valle et al.	536	55.1	
	5,356,883	10/18/94	Kuo et al.	514	54	
	5,368,858	11/29/94	Hunziker	424	423	
	5,413,791	05/09/95	Rhee et al.	424	422	
	5,466,462	11/14/95	Rosenthal et al.	424	426	
	5,468,787	11/21/95	Braden et al.	523	113	
	5,502,081	03/26/96	Kuo et al.	514	777	
	5,512,301	04/30/96	Song et al.	424	484	
	5,527,893	06/18/96	Burns et al.	514	53	
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	5,652,347	07/29/97	Pouyani et al.	536	18.5	

EXAMINER

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449</b>  <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b> S/267 DIV	<b>APPLN. NO.</b> 10/680,000
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<b>FILING DATE</b> 10/06/2003		

	5,693,341	12/02/97	Schroeder	424	488	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 90/06767	06/28/90	PCT	A61K	37/02		
	WO 96/15888	05/30/96	PCT	B28B3	00		
	WO 97/45532	12/04/97	PCT	C12N	5/00		
	WO 97/18244	5/22/97	PCT	C08B	37/08		
	FR 96 12200	10/07/96	France				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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